

Application Form TSCTrade Execution Only Service

Please complete the form to provide us with the information needed to open your account

Please select the type of Investment account *:

Execution Only Nominee

Execution Only ISA

Execution Only Certificated

I wish to open an *:

Individual Account

Joint Account

Account holder's personal details

Title *

Mr

Mrs

Ms

Other

First Name*

Middle Name (s)

Last Name*

Date of Birth* (DD/MM/YYYY)

Nationality*

(Provide all if holding more than one Nationality)

Place of Birth*

Country of Birth*

Country of Residence*

Country of Taxation*

TSCTrade is a trading name of All IPO Plc which is authorised and regulated by the Financial Conduct Authority (FRN 428754) and is a member of the London Stock Exchange.

TSCTrade, 26 Throgmorton Street, London, EC2N 2AN. Tel: +44 (0)203 011 2315

Registered Office/Accounts Dept: Suite 27, Essex Technology Centre, The Gables, Fyfield Road, Ongar, Essex, CM5 0GA.

Company registered in England and Wales: Number 3230460 VAT No: GB752320264

2nd Account holder's Personal details if applying for a Joint Account

Title * Mr Mrs Ms Other

First Name* _____

Middle Name (s) _____

Last Name* _____

Date of Birth* (DD/MM/YYYY) _____

Nationality* _____

(Provide all if holding more than one Nationality)

Place of Birth* _____

Country of Birth* _____

Country of Residence* _____

Country of Taxation* _____

Relationship to 1st account holder*

Spouse Partner Business Partner Sibling Children Parent Other

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UK Current Address

UK Previous Address *Please provide your previous address if you have lived at your current address for less than 3 years*

Address Line 1* _____ Address Line 1* _____
Address Line 2 _____ Address Line 2 _____
Address Line 3 _____ Address Line 3 _____
Town/City* _____ Town/City* _____
Country* _____ Country* _____
Post Code* _____ Post Code* _____
Years at Address* _____ Years at Address* _____

2nd Account holder's address details *if applying for a Joint Account*

UK Current Address

UK Previous Address *Please provide your previous address if you have lived at your current address for less than 3 years*

Address Line 1* _____ Address Line 1* _____
Address Line 2 _____ Address Line 2 _____
Address Line 3 _____ Address Line 3 _____
Town/City* _____ Town/City* _____
Country* _____ Country* _____
Post Code* _____ Post Code* _____
Years at Address* _____ Years at Address* _____

Contact Details

2nd Account holder's contact details *if applying for a Joint Account*

*Home Telephone _____ *Home Telephone _____
Mobile Telephone _____ Mobile Telephone _____
Email Address _____ Email Address _____

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***Information about You**

Occupation _____

Employed Self-Employed Retired Unemployed Other

*National Insurance Number _____

*National Identifier Number _____

(For non-UK Nationals)

UK Tax Status

Basic Rate	<input type="checkbox"/>	Main Income (£)	<input type="text"/>
Higher Rate	<input type="checkbox"/>		
Additional Rate	<input type="checkbox"/>	Other Income	<input type="text"/>

Assets

Property	<input type="text"/>	Mortgage Liabilities	<input type="text"/>
Share Portfolio	<input type="text"/>	Investment Liabilities	<input type="text"/>
Cash on Deposit	<input type="text"/>	Other Borrowings	<input type="text"/>
Other	<input type="text"/>	Other	<input type="text"/>
Total Assets	<input type="text"/>	Total Liabilities	<input type="text"/>

**Please provide details of the source of funds you will use for investment. We may ask you to provide evidence.*

Income Savings Property sale Inheritance Other

Details

UK Bank Details Please provide your Bank account details. We will use these account details to make payments to you when you request a withdrawal from your TSCTrade investment account.

Please specify the type of bank account*

Personal account Joint account (Please provide joint bank account details if you are applying for joint broking account)

Name of Bank* _____

Name(s) on Account* _____

Sort Code* _____

Account Number* _____

2nd Account holder's Information if applying for a Joint Account*

Occupation _____

Employed Self-Employed Retired Unemployed Other

National Insurance Number* _____

National Identifier Number* _____

(For non-UK Nationals)

UK Tax Status

Basic Rate Main Income (£)

Higher Rate

Additional Rate Other Income

Assets

Property Mortgage Liabilities

Share Portfolio Investment Liabilities

Cash on Deposit Other Borrowings

Other Other

Total Assets Total Liabilities

*Please provide details of the source of funds you will use for investment. We may ask you to provide evidence.

Income Savings Property sale Inheritance Other

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Details

Your Trading Pattern Please provide the following information to help us understand your trading pattern.

What is the purpose of the account? (Trading, Investment, etc.)

How many times a month do you think you will trade?

What do you think will be the average value of your trade?

What is the estimated value of the portfolio to be maintained?

Which markets will you normally trade in?

LSE Official List (Main Market)

Alternative Investment market (AIM)

NEX market

Initial Public Offerings (IPO)

Venture Capital Trusts (VCTs)

Unlisted Shares

Overseas Shares

Describe your understanding of investment in stock and shares

Good

Average

Poor

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Anti-Money-Laundering Requirements

Before we open a TSCTrade account for you, we are required to verify your identity and address. We will use an electronic verification provider to do these checks. This is not a credit reference search.

In the event that we are unable to verify you electronically we will ask you to provide us with documentary evidence.

Accept Terms and Conditions

I confirm that the details I have provided herein are a true and accurate representation of my current status, I have read and accept the terms of the Execution and Conflicts of Interest Policy and the Risk Warning Notice and I hereby agree to be bound by the TSCTrade Terms of Business.

I consent for TSCTrade to use, process, and hold my personal information for the purposes described in the TSCTrade Terms of Business. These include identity verification, communicating with me, and transferring money using my bank details.

I also agree for TSCTrade to perform electronic verification checks for Anti-Money Laundering purposes in order to verify my true identity and residential address.

Client signature*

Print Name*

If applying for a Joint account

Client signature* (2nd account holder)

Print Name* (2nd account holder)

Date (DD/MM/YYYY)

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For OFFICE use only

Proof of Identity:

Passport's Certified copy Seen original passport Certified copy Driving Licence Seen original Driving Licence & acquire copy

Note:

Client Categorisation:

Retail Client Professional Client Eligible Counterparty

Note:

Electronic Identity Check Performed: Yes No
AML Check Passed Failed

Note:

Proof of address provided:

Bank Statement Gas, electricity, or water bill fixed line telephone bill Council Tax bill Other

Note:

Documents sent to client (upon account opening)

Terms of Business Execution policy Charges Guide Conflicts of Interest Policy Welcome letter

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Record Keeping Notes:

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