

EXECUTION-ONLY CLIENTS
ISA / Nominee / Certificated* *delete as applicable

Please complete this form to provide us with the information needed to open your account

Please tell us about your Investment Knowledge and Experience

When you make a transaction with us we will use the information you provide here to assess the appropriateness of our products or services. If we determine that the product or service is not appropriate we will warn you.

If you do not provide enough information to allow us to assess your knowledge and experience then we will not be able determine whether our services are appropriate for you. Therefore you will not benefit from the protection of the Financial Services Authority rules on assessing suitability.

Appropriateness of our Services to You

The number of years I have been investing in Stocks and Shares is:

The average number of trades I place every 3 months is:

I have previously invested in shares traded on the following markets:

- LSE Official List (Main Market)
- Alternative Investment Market (AIM)
- PLUS Markets Quoted
- Initial Public Offerings (IPOs)
- Venture Capital Trusts (VCTs)
- Unlisted shares
- Overseas shares

I would describe my understanding of trading stocks and shares to be: Good Average Poor

I have relevant professional experience of trading stocks and shares: Yes No

TSCTrade is a trading name of All IPO Plc which is authorised and regulated by the Financial Services Authority (FSA Register number 428754) and is a member of the London Stock Exchange.

TSCTrade, 26 Throgmorton Street, London, EC2N 2AN. Tel: +44 (0)207 071 0805 Fax: +44 (0)207 256 9060

Registered Office/Accounts Dept: Suite 27, Essex Technology Centre, The Gables, Fyfield Road, Ongar, Essex, CM5 0GA.

Company registered in England and Wales: Number 3230460 VAT No: GB752320264

Personal Details	
Title	<input type="text"/>
First Name	<input type="text"/>
Middle Name(s)	<input type="text"/>
Last Name	<input type="text"/>
Date of Birth	<input type="text" value="/ /"/> (DD/MM/YYYY)
Nationality	<input type="text"/>

UK Home Address [if less than 3 years, please also supply previous addresses]			
Address Line 1	<input type="text"/>		
Address Line 2	<input type="text"/>		
Address Line 3	<input type="text"/>		
Address Line 4	<input type="text"/>		
City / Town	<input type="text"/>		
County	<input type="text"/>		
Post code	<input type="text"/>	Years at address	<input type="text"/>

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Contact Details	
Home Telephone	<input type="text"/>
Mobile Telephone	<input type="text"/>
Email Address	<input type="text"/>

Financial Information about You * N.I. No. compulsory for ISA accounts	
Notes:	<input type="text"/>
Employed <input type="checkbox"/>	Self-employed <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed <input type="checkbox"/> Other <input type="text"/>
*National Insurance Number	<input type="text"/>
UK Tax Status	<input type="checkbox"/> Nil Rate <input type="checkbox"/> Basic Rate <input type="checkbox"/> Higher Rate
Annual Income (£)	Salary <input type="text"/>
	Other Income <input type="text"/>
Assets (£)	Liabilities (£)
Property <input type="text"/>	Mortgage <input type="text"/>
Share Portfolio <input type="text"/>	Investment Liabilities <input type="text"/>
Cash on deposit <input type="text"/>	Other borrowings <input type="text"/>
Other <input type="text"/>	Other <input type="text"/>
Total Assets <input type="text"/>	Total Liabilities <input type="text"/>
Notes	<input type="text"/>

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Employment Information

Occupation: Director of a Firm: Yes No

Name of Employer:

FSA Registered Firm: Yes No

FSA Reg No:

If you wish for copies of contract notes to be sent to your Compliance Department, please supply the following details:

Name of Compliance Officer:

Email:

Address:

Your UK Bank Details

Please enter your bank account details. We will use these account details to make payments to you when you request a withdrawal from your account.

Name of Bank

Name on Account

Sort Code

Account Number

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Anti-Money-Laundering Requirements

Before we can carry out investment business with you, we are required by the Financial Services Authority (FSA) to carry out adequate checks to ensure your true identity and other matters in relations to money laundering and address checks.

We shall attempt to identify you using an electronic verification provider. This is not a credit reference search; therefore it leaves no foot print trail on your credit file.

In the unfortunate event that we are unable to verify you electronically we may call upon you to provide us with the following documents:

1. A UK photocard driving license or a valid passport

If you wish, you may send a photocopy of your photocard driving license or of the photograph page of your passport and this **must be signed** by a bank manager, accountant, or lawyer to be a true likeness of yourself. The signing party must clearly state their name, position, and contact address.

2. We also require you to enclose a second original and recently dated document showing your name and address. This can be one of:
 - a) Bank statement
 - b) Credit card statement
 - c) Gas, electricity, or water bill
 - d) Fixed line telephone bill (sorry, mobile telephone bills cannot be accepted)

We will take photocopies of any originals and return them to you by post (at your own risk, however official documents if originals will be sent by recorded delivery – please note that we do not recommend that you send your passport or driving license originals to us by non-recorded delivery in case they are mislaid).

Accept Terms and Conditions

I confirm that the details I have provided herein are a true and accurate representation of my current status, I have read and accept the terms of the Execution and Conflicts of Interest Policy and the Risk Warning Notice and I hereby agree to be bound by the TSCTRADE Terms of Business.

I also agree for TSCTRADE to instigate electronic verification checks for Anti-Money Laundering purposes in order to verify my true identity and validate me at my residential address and or I enclose one proof of identity and one proof of address with my application

Customer Signature

Print Name

Dated

(DD/MM/YYYY)

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